

PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:

Optivision Laboratory Management System (LMS) version 1.x

2. DOD COMPONENT NAME:

Defense Health Agency

3. PIA APPROVAL DATE:

08/01/23

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: *(Check one. Note: Federal contractors, military family members, and foreign nationals are included in general public.)*

From members of the general public

From Federal employees

from both members of the general public and Federal employees

Not Collected *(if checked proceed to Section 4)*

b. The PII is in a: *(Check one.)*

New DoD Information System

New Electronic Collection

Existing DoD Information System

Existing Electronic Collection

Significantly Modified DoD Information System

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

The Optivision Laboratory Management System (LMS) version 1.x is an ophthalmic management solution and interface (i.e., software) for eye glass grinding machines which make lenses for glasses. This LMS has the following capabilities: (1) checks prescriptions; (2) scans bar codes on lenses; (3) verifies the lens information to include lens style, material index of refraction, diameter, coating, color, base curve, sphere, cylinder and error indication; (4) removes item counts from inventory; (5) returns item counts to inventory; (6) produces reports; (7) tracks orders; and (8) maintains a history of each order.

The Optivision LMS is deployed in the Optical Fabrication Laboratories (OFL) at the following Department of Defense (DoD) locations: Martin Army Community Hospital, Fort Benning, Georgia; Moncrief Army Health Center, Fort Jackson, North Carolina; General Leonard Wood Army Community Hospital, Fort Leonard Wood, Missouri; Brooke Army Medical Center, Fort Sam Houston, Texas; Reynolds Army Health Center, Fort Sill, Oklahoma; Tripler Army Medical Center, Honolulu, Hawaii; U.S. Army Medical Materiel Center - Europe and U.S. Army Medical Materiel Center - Korea.

The OFLs within the Continental United States (CONUS) and Hawaii fall under the U.S. Army Medical Command. The OFLs in Europe and Korea fall under the U.S. Army Medical Logistics Command. The information necessary for the fabrication and delivery of optical devices is obtained via clinic or patient initiated transactions from an existing DoD information system. The individuals with records in this system include active duty military, military retirees, and other individuals eligible to receive optical devices through DoD.

The Personally Identifiable Information (PII) collected includes demographic information, contact information, and medical information, including Protected Health Information (PHI). The PII in this application is retrieved using the individual's name and/or unique identifier.

The OFLs contract with Optivision, Inc. is for the use of the Optivision LMS version 1.x software. The OFLs within the Continental United States and Hawaii fall under the direction of the U.S. Army Medical Command. The OFLs in Europe and Korea fall under the direction of the U.S. Army Medical Logistics Command.

d. Why is the PII collected and/or what is the intended use of the PII? *(e.g., verification, identification, authentication, data matching, mission-related use, administrative use)*

The PII is collected for identification, administrative, and mission-related purposes. The collected PII is used to support the delivery of health care services.

e. Do individuals have the opportunity to object to the collection of their PII? Yes No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

Optivision LMS is not the initial PII point of collection, individuals do not have an opportunity to object to the collection of their PII.

f. Do individuals have the opportunity to consent to the specific uses of their PII? Yes No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

Optivision LMS is not the initial PII point of collection, individuals do not have an opportunity to object to the collection of their PII.

g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. (Check as appropriate and provide the actual wording.)

Privacy Act Statement Privacy Advisory Not Applicable

Optivision LMS does not collect PII directly from individuals. Therefore, no Privacy Act Statement or Privacy Advisory is required.

h. With whom will the PII be shared through data/system exchange, both within your DoD Component and outside your Component? (Check all that apply)

Within the DoD Component Specify.

Other DoD Components (i.e. Army, Navy, Air Force)

Specify. The PII is shared with authorized personnel within the DHA MTFs that get print out from Optivision software/OFLs with glasses. The PII is shared with authorized personnel within the organizations (Army OFLs) using this application.

Other Federal Agencies (i.e. Veteran's Affairs, Energy, State) Specify.

State and Local Agencies Specify.

Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.)

Specify. Optivision, Inc. has contracts with the OFLs identified in Section 1c. These contracts contain the following: FAR Clauses 52.212-4 and FAR 52.212-5; HIPAA Business Associate Agreement; Requirements to safeguard PII through the implementation of physical, administrative, and technical security controls.

Other (e.g., commercial providers, colleges). Specify.

i. Source of the PII collected is: (Check all that apply and list all information systems if applicable)

Individuals Databases
 Existing DoD Information Systems Commercial Systems
Other Federal Information Systems

Spectacle Request Transmission System (SRTS)

j. How will the information be collected? (Check all that apply and list all Official Form Numbers if applicable)

E-mail Official Form (Enter Form Number(s) in the box below)
In-Person Contact Paper
Fax Telephone Interview
 Information Sharing - System to System Website/E-Form
Other (If Other, enter the information in the box below)

k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

Yes No

If "Yes," enter SORN System Identifier EDHA 07

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or <http://dpcl.d.defense.gov/Privacy/SORNs/>
or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

l. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority. GRS 5.4, item 010 (DAA-GRS-2016-0011-0001)

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

FILE NUMBER: 204-01

DISPOSITION: Temporary. Cut off annually or when superseded (as appropriate). Destroy 3 years after cutoff.

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.

(2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).

(a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

Public Law 104-191, Health Insurance Portability and Accountability Act of 1996; 10 U.S.C. Ch. 55, Medical and Dental Care; 10 U.S.C. 1097a, TRICARE Prime: Automatic Enrollments; Payment Options; 10 U.S.C. 1097b, TRICARE Prime and TRICARE Program: Financial Management; 10 U.S.C. 1079, Contracts for Medical Care for Spouses and Children: Plans; 10 U.S.C. 1079a, TRICARE Program: Treatment of Refunds and Other Amounts Collected Civilian Health and Medical Program of the Uniformed Services (CHAMPUS); 10 U.S.C. 1086, Contracts for Health Benefits for Certain Members, Former Members, and Their Dependents; 10 U.S.C. 1095, Health Care Services Incurred on behalf of Covered Beneficiaries: Collection From Third-party Payers; 42 U.S.C. 290dd, Substance Abuse Among Government and Other Employees; 42 U.S.C. 290dd-2, Confidentiality Of Records; 42 U.S.C. Ch. 117, Sections 11131-11152, Reporting of Information; 45 CFR 164, Security and Privacy; DoD Instruction 6015.23, Foreign Military Personnel Care and Uniform Business Offices in Military Treatment Facilities (MTF); DoD Manual 6025.18, Implementation of the Health Insurance Portability and Accountability Act (HIPAA) Privacy Rule in DoD Health Care Programs; and E.O. 9397 (SSN), as amended.

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes No Pending

(1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.

(2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, "DoD Information Collections Manual: Procedures for DoD Public Information Collections."

(3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

The information in this application is collected from an existing system instead of an individual; therefore OMB approval is not required. OMB approval is only required when the data is collected directly from an individual.