

PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:

Oak Harbor MedCOI

2. DOD COMPONENT NAME:

Defense Health Agency

3. PIA APPROVAL DATE:

08/21/23

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: (Check one. Note: Federal contractors, military family members, and foreign nationals are included in general public.)

From members of the general public

From Federal employees

from both members of the general public and Federal employees

Not Collected (if checked proceed to Section 4)

b. The PII is in a: (Check one.)

New DoD Information System

New Electronic Collection

Existing DoD Information System

Existing Electronic Collection

Significantly Modified DoD Information System

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

The Naval Health Clinic Oak Harbor (NHCOH) Enclave consists of hardware, software, and telecommunications architecture to provide information services to the clinic. This enclave includes user interface devices such as workstations and laptops and hosts office automation as well as medical systems and applications. PII and PHI (potentially all 18 identifiers) may be collected, maintained, used, or disseminated by systems and applications utilizing the enclave or within documents stored specific locations on file servers and shared drives. PII processed on some system components, applications, and documents might utilize specific administrative, physical, and technical security controls to protect PII confidentiality and which may be addressed in separate PIAs. Such PIAs are available at: <https://dodcio.defense.gov/In-the-News/Privacy-Impact-Assessments/asp/>.

The MTF enclave includes the following system components, applications, and/or electronic collections: GENESIS, AudioCare, Defense Occupational and Environmental Health Readiness System Hearing Conservation (DOEHRS), Picture Archiving and Communications System (PACS), Healthcare Artifact and imaging Management Solution (HAIMS), Q-Flow Patient Queuing and Notification System (PQNS), Cone Beam Computerized Tomography, and other medical devices.

d. Why is the PII collected and/or what is the intended use of the PII? (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

The enclave itself does not collect PII. However, systems, applications, and electronic collections utilized within the enclave may. Refer to the PIA specific to the system, application, or electronic collection for additional information.

e. Do individuals have the opportunity to object to the collection of their PII? Yes No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

The enclave itself does not collect PII. However, systems, applications, and electronic collections utilized within the enclave may. Refer to the PIA specific to the system, application, or electronic collection for additional information.

f. Do individuals have the opportunity to consent to the specific uses of their PII? Yes No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

The enclave itself does not collect PII. However, systems, applications, and electronic collections utilized within the enclave may. Refer to the PIA specific to the system, application, or electronic collection for additional information.

g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. (Check as appropriate and provide the actual wording.)

Privacy Act Statement Privacy Advisory Not Applicable

Refer to the PIA specific to the system, application, or electronic collection hosted within the enclave for additional information on the Privacy Act Statement or Privacy Advisory.

h. With whom will the PII be shared through data/system exchange, both within your DoD Component and outside your Component?
(Check all that apply)

- | | | |
|---|----------|--|
| <input checked="" type="checkbox"/> Within the DoD Component | Specify. | PII may be shared with personnel with authorized access to the enclave. |
| Other DoD Components (i.e. Army, Navy, Air Force) | Specify. | Not applicable for the enclave. PII sharing is addressed in the respective sub-system's PIA. |
| Other Federal Agencies (i.e. Veteran's Affairs, Energy, State) | Specify. | Not applicable for the enclave. PII sharing is addressed in the respective sub-system's PIA. |
| State and Local Agencies | Specify. | Not applicable for the enclave. PII sharing is addressed in the respective sub-system's PIA. |
| Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.) | Specify. | Not applicable for the enclave. PII sharing is addressed in the respective sub-system's PIA. |
| Other (e.g., commercial providers, colleges). | Specify. | Not applicable for the enclave. PII sharing is addressed in the respective sub-system's PIA. |

i. Source of the PII collected is: (Check all that apply and list all information systems if applicable)

- | | |
|--|--------------------|
| Individuals | Databases |
| <input checked="" type="checkbox"/> Existing DoD Information Systems | Commercial Systems |
| Other Federal Information Systems | |

The source of the PII collected is specific to type and purpose of the system, application, or electronic collection.

j. How will the information be collected? (Check all that apply and list all Official Form Numbers if applicable)

- | | |
|--|---|
| E-mail | Official Form (Enter Form Number(s) in the box below) |
| In-Person Contact | Paper |
| Fax | Telephone Interview |
| Information Sharing - System to System | Website/E-Form |
| <input checked="" type="checkbox"/> Other (If Other, enter the information in the box below) | |

Information collection method is specific to the type and purpose of the system, application, or electronic collection.

k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

Yes No

If "Yes," enter SORN System Identifier

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or <http://dpcl.d.defense.gov/Privacy/SORNs/>
or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

The enclave itself does not function as a system of records. However, systems, applications, and electronic collections utilized within the enclave may require a SORN. Refer to the PIA specific to the system, application, or electronic collection for SORN information.

l. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority. Not Applicable

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

The LAN/Enclave itself does not have a NARA approved, pending, or GRS authority and retention instructions applied as a whole. Refer to NARA approved, pending, or GRS authority and retention instruction specific to the systems, applications, electronic collections, file servers, and share drives contained within the LAN/Enclave.

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.

- (1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.
- (2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).
 - (a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.
 - (b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.
 - (c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

Refer to the PIA specific to the system, application, or electronic collection for information on the authorities to collect information.

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes No Pending

- (1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
- (2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, "DoD Information Collections Manual: Procedures for DoD Public Information Collections."
- (3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

The enclave itself does not collect information from members of the public. However, systems, applications, or electronic collections utilizing the enclave may. Refer to the PIA specific to the system, application, or electronic collection for information regarding the OMB Control Number.