

PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:

Becton, Dickinson and Company (BD) Pyxis SupplyStation 11.x_AA

2. DOD COMPONENT NAME:

Defense Health Agency

3. PIA APPROVAL DATE:

12/19/24

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: (Check one. Note: Federal contractors, military family members, and foreign nationals are included in general public.)

- ☐ From members of the general public ☐ From Federal employees
- ☒ from both members of the general public and Federal employees ☐ Not Collected (if checked proceed to Section 4)

b. The PII is in a: (Check one.)

- ☐ New DoD Information System ☐ New Electronic Collection
- ☒ Existing DoD Information System ☐ Existing Electronic Collection
- ☐ Significantly Modified DoD Information System

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

The purpose of the Pyxis Supply System is to provide access to information and reporting to help organizations manage and evaluate operations by supply use in various departments or facilities. It enables users to set and manage optimum inventory levels, drive product standardization, and control product utilization through enhanced compliance and par level management.

The system collects patient name, birth date, patient ID/visit number, and medical record number/Social Security number, as it relates to a specific event. The information is kept on the server for 90 days and then purged from the system.

The Pyxis Supply System also includes the Care Coordination Engine (CCE) product. The purpose of CCE is to forward information to other compatible hospital devices. These devices help organizations manage and evaluate operations used in various departments or MTF facilities. CCE is the interface to CHCS for Pyxis Pharmacy products and provides these systems (Products such as Medstation ES, PAS, CIISafe and Connect) specific patient information required to deliver patient care. CCE is a back-end system and does not dispense any pharmaceuticals to patients. CCE collects patient information from CHCS, as it relates to a specific event. It then makes this information available to the various products as it relates to the patients' care.

d. Why is the PII collected and/or what is the intended use of the PII? (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

Verification, identification, data matching, and administrative use as it pertains to patient billing.

e. Do individuals have the opportunity to object to the collection of their PII? ☐ Yes ☒ No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

Individuals do not have the opportunity to object to the collection of their PII /PHI because this system is not the initial point of collection; however, the source system may provide the individual the opportunity to object to the collection.

f. Do individuals have the opportunity to consent to the specific uses of their PII? ☐ Yes ☒ No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

Individuals do not have the opportunity to object to the collection of their PII /PHI because this system is not the initial point of collection; however, the source system may provide the individual the opportunity to object to the collection.

g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. (Check as appropriate and provide the actual wording.) ☐ Privacy Act Statement ☐ Privacy Advisory ☒ Not Applicable

This system is not the initial collection point for the PII. The PII is obtained from an existing DoD information system or electronic collection, therefore no Privacy Act Statement or Privacy Advisory is required.

h. With whom will the PII be shared through data/system exchange, both within your DoD Component and outside your Component? (Check all that apply)

☒ Within the DoD Component

Specify.

Information is shared with authorized DoD health care providers and managers. PII information will also be shared with MTF physicians, pharmacists, and nurses. In addition the information may be shared with billing and financial groups within the DoD.

☐ Other DoD Components (i.e. Army, Navy, Air Force)

Specify.

☐ Other Federal Agencies (i.e. Veteran's Affairs, Energy, State)

Specify.

☐ State and Local Agencies

Specify.

☒ Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.)

Specify.

BD support staff will have access to the CCE (Care Coordination Engine) server in the performance of their duties to provide support for maintenance, patching, and repair roles. BD Support staff are required to follow DoD personnel security policies when granted access to sensitive information.

☐ Other (e.g., commercial providers, colleges).

Specify.

i. Source of the PII collected is: (Check all that apply and list all information systems if applicable)

☐ Individuals

☐ Databases

☒ Existing DoD Information Systems

☐ Commercial Systems

☐ Other Federal Information Systems

Data is obtained from Defense Medical Logistics Standard Support (DMLSS) and Composite Health Care System (CHCS).

j. How will the information be collected? (Check all that apply and list all Official Form Numbers if applicable)

☐ E-mail

☐ Official Form (Enter Form Number(s) in the box below)

☐ In-Person Contact

☐ Paper

☐ Fax

☐ Telephone Interview

☒ Information Sharing - System to System

☐ Website/E-Form

☐ Other (If Other, enter the information in the box below)

k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

☐ Yes ☒ No

If "Yes," enter SORN System Identifier

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or <http://dpcl.d.defense.gov/Privacy/SORNs/>
or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

I. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority.

GRS 5.4, item 010 (DAA-GRS-2016-0011-0001)

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

FILE NUMBER: 204-01

DISPOSITION: Temporary. Cut off annually or when superseded (as appropriate). Destroy 3 years after cutoff.

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.

- (1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.
(2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).

- (a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.
(b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.
(c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

45 C.F.R. §§ 160 and 164, Parts A and E (Health Insurance Portability and Accountability Act of 1996) DoD 6025.18-R, (DoD Health Information Privacy Regulation) 10 US Code 55 – Medical and Dental Care; DoD Instruction 6015.23, Delivery of Healthcare at Military Treatment Facilities. 5 U.S.C. § 301 (Department Regulation); 10 U.S.C. Chapter 55, as follows: a) 10 U.S.C. §§ 1071-1085 (Medical and Dental Care); b) 10 U.S.C. §§ 1097a and 1097b (TRICARE Prime and TRICARE Program); c) 10 U.S.C. § 1079 (Contracts for Medical Care for Spouses and Children); d) 10 U.S.C. § 1079a (CHAMPUS: Treatment of funds and other amounts collected); e) 10 U.S.C. § 1086 (Contracts for Health Benefits for Certain Members, Former Members, and Their Dependents); and, f) 10 U.S.C. § 1095 (Collection from Third Party Payers Act); 10 U.S.C. § 8013, Secretary of the Air Force; 42 U.S.C. §§ 11131-11152 (Reporting of Information); DoD Instruction 6015.23 (Delivery of Healthcare at Military Treatment Facilities); DoD 6010.8-R (CHAMPUS); Operational Procedures) DoDD 6000.12 (Health Services Operations and Readiness); and E.O. 9397 (SSN).

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

☐ Yes ☒ No ☐ Pending

- (1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
(2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, "DoD Information Collections Manual: Procedures for DoD Public Information Collections."
(3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

The information collected within this system is for the diagnosis and treatment of medical disorders and does not collect PHI/PII directly from individuals of the general public; however, the system components, applications, or electronic collections within, in accordance with DoDM 8910.01, Volume 2, Enclosure 3, paragraph 8b(5).