

HUMAN
DECISIONS
REGARDING
THE DESIGN
AND
OPTIMIZATION
OF WHOLE
SYSTEM

Responsible AI design is <u>not</u> a one-and-done



ITERATE: RETHINK NOT ONLY MODEL, BUT ALSO DATA, GOALS, ASSUMPTIONS AS WELL.

DOCUMENTATION IS KEY!

NEED: INTERDISCIPLINARY EXPERTISE.

Do not let decisions be left to the intuitions of people without relevant expertise

WE DO NOT HAVE TO UNDERSTAND EVERY STEP IN THE ML PROCESS. WHAT WE DO HAVE TO UNDERSTAND, HOWEVER, ARE THE <u>CHOICES</u>, <u>ASSUMPTIONS</u>, <u>SIMPLIFICATIONS</u>, AND <u>TRADE-OFFS</u> MADE BY THE PEOPLE WHO DESIGNED THIS SYSTEM.

11

DOD AI ETHICAL PRINCIPLES

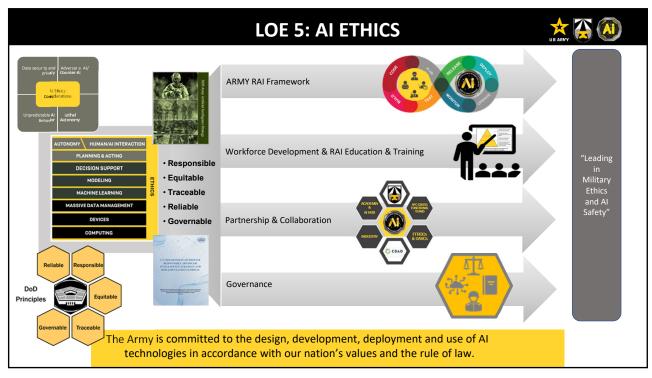
RESPONSIBLE: DOD PERSONNEL WILL EXERCISE APPROPRIATE LEVELS OF JUDGMENT AND CARE, WHILE REMAINING RESPONSIBLE FOR THE DEVELOPMENT, DEPLOYMENT, AND USE OF AI CAPABILITIES.

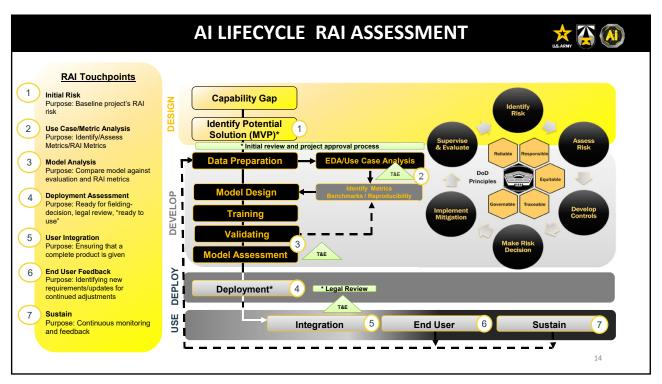
EQUITABLE: THE DEPARTMENT WILL TAKE DELIBERATE STEPS TO MINIMIZE UNINTENDED BIAS IN AI CAPABILITIES

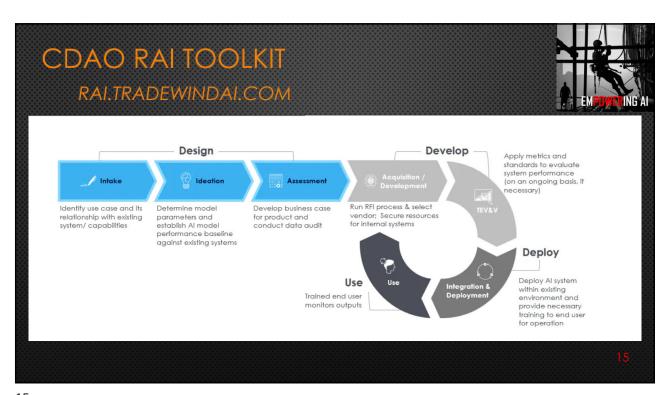
TRACEABLE: The Department's AI capabilities will be developed and deployed such that relevant personnel possess an appropriate understanding of the technology, development processes, and operational methods applicable to AI capabilities, including with transparent and auditable methodologies, data sources, and design procedure and documentation.

RELIABLE: The Department's AI capabilities will have explicit, well-defined uses, and the safety, security, and effectiveness of such capabilities will be subject to testing and assurance within those defined uses across their entire life-cycles.

GOVERNABLE: THE DEPARTMENT WILL DESIGN AND ENGINEER AI CAPABILITIES TO FULFILL THEIR INTENDED FUNCTIONS WHILE POSSESSING THE ABILITY TO DETECT AND AVOID UNINTENDED CONSEQUENCES, AND THE ABILITY TO DISENGAGE OR DEACTIVATE DEPLOYED SYSTEMS THAT DEMONSTRATE UNINTENDED BEHAVIOR.







KEY LEARNINGS



- AI, AN ECOSYSTEM OF DEDUCTIVE INDUCTIVE AND HYBRID APPROACHES, TOOLS FOR NARROW, DATA-CENTRIC ENVIRONMENTS, IS HERE TO STAY, AND MILITARIES WANT TO INTEGRATE AI INTO ALL PROCESSES FROM THE BACK OFFICE TO THE BATTLEFIELD.
- GENERAL LACK OF EDUCATION ABOUT THE BENEFITS, LIMITATIONS, AND THE RISKS OF AI
- NEED INTERDISCIPLINARY, USER CENTRIC TEAMS, ITERATING AND DOCUMENTING THE CHOICES, ASSUMPTIONS, SIMPLIFICATIONS, AND TRADE-OFFS.
- TEV/V NEEDS TO EVOLVE, ACCOUNT FOR AI RISKS
- GenAl is a subset of Al techniques
- Al is a set of tools; WE are the LIMFAC!

