**LUNG CANCER**

*Includes Invasive and Primary Cancers Only; Does Not Include Carcinoma In Situ or Metastatic Cancer*

**Background**

This case definition was developed by the Armed Forces Health Surveillance Branch (AFHSB) for the purpose of descriptive epidemiological reports on invasive cancers among active duty Service members.¹

**Clinical Description**

Lung cancer is a malignancy that forms in the tissues of the lung, usually in the cells that line the air passages. As of 2015, the Center for Disease Control and Prevention (CDC) estimates lung cancer is the leading cause of cancer death and the second leading cause of new cancer cases in both men and women in the United States.² Cigarette smoking is the most common cause of lung cancer; additional causes include other types of tobacco use, breathing and inhalation of second hand smoke, exposure to asbestos or radon, and heredity.³

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**Case Definition and Incidence Rules**

For surveillance purposes, a case of lung cancer is defined as:

- **One hospitalization** with a case defining diagnosis of lung cancer (see ICD9 and ICD10 code lists below) in the *first* diagnostic position; or

- **One hospitalization with a V-code** indicating a radiotherapy, chemotherapy, or immunotherapy treatment procedure (see ICD9 and ICD10 code lists below) in the *first* diagnostic position; AND any case defining diagnosis of lung cancer (see ICD9 and ICD10 code lists below) in the *second* diagnostic position; or

- **Three or more outpatient medical encounters**, occurring *within a 90-day period*, with any of the case defining diagnoses of lung cancer (see ICD9 and ICD10 code lists below) in the *first or second* diagnostic position.

**Incidence rules:**

For individuals who meet the case definition:

(continued on next page)

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The following ICD9 and ICD10 codes are included in the case definition:

<table>
<thead>
<tr>
<th>Condition</th>
<th>ICD-10-CM Codes</th>
<th>ICD-9-CM Codes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lung cancer</td>
<td>C34 (malignant neoplasm of bronchus and lung)</td>
<td>162 (malignant neoplasm of lung and bronchus)</td>
</tr>
<tr>
<td></td>
<td>C34.0 (malignant neoplasm of main bronchus)</td>
<td>162.2 (malignant neoplasm of main bronchus)</td>
</tr>
<tr>
<td></td>
<td>- C34.00 (malignant neoplasm of unspecified main bronchus)</td>
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<tr>
<td></td>
<td>- C34.01 (malignant neoplasm of right main bronchus)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>- C34.02 (malignant neoplasm of left main bronchus)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>C34.1 (malignant neoplasm of upper lobe, bronchus or lung)</td>
<td>162.3 (malignant neoplasm of upper lobe, bronchus or lung)</td>
</tr>
<tr>
<td></td>
<td>- C34.10 (malignant neoplasm of upper lobe, unspecified bronchus or lung)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>- C34.11 (malignant neoplasm of upper lobe, right bronchus or lung)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>- C34.12 (malignant neoplasm of upper lobe, left bronchus or lung)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>C34.2 (malignant neoplasm of middle lobe, bronchus or lung)</td>
<td>162.4 (malignant neoplasm of middle lobe, bronchus or lung)</td>
</tr>
<tr>
<td></td>
<td>C34.3 (malignant neoplasm of lower lobe, unspecified bronchus or lung)</td>
<td>162.5 malignant neoplasm of (lower lobe, bronchus or lung)</td>
</tr>
<tr>
<td></td>
<td>- C34.30 (malignant neoplasm of lower lobe, unspecified bronchus or lung)</td>
<td>(continued on next page)</td>
</tr>
</tbody>
</table>
### Surveillance Case Definitions

**ONC 14**

**SURVEILLANCE CASE DEFINITIONS**

**FINAL May 2019**

- **C34.31** (malignant neoplasm of lower lobe, *right* bronchus or lung)
- **C34.32** (malignant neoplasm of lower lobe, *left* bronchus or lung)

**C34.8** (malignant neoplasm of overlapping sites of bronchus and lung)
- **C34.80** (malignant neoplasm of overlapping sites of *unspecified* bronchus and lung)
- **C34.81** (malignant neoplasm of overlapping sites of *right* bronchus and lung)
- **C34.82** (malignant neoplasm of overlapping sites of *left* bronchus and lung)

**C34.9** (malignant neoplasm of unspecified part of bronchus or lung)
- **C34.90** (malignant neoplasm of unspecified part of *unspecified* bronchus or lung)
- **C34.91** (malignant neoplasm of unspecified part of *right* bronchus or lung)
- **C34.92** (malignant neoplasm of unspecified part of *left* bronchus or lung)

**162.8** (malignant neoplasm of other parts of bronchus or lung)

**162.9** (malignant neoplasm of bronchus and lung, unspecified)

### Procedures

**ICD-10-CM Codes**

<table>
<thead>
<tr>
<th>Procedures</th>
<th>ICD-9-CM Codes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Z51.0 (encounter for antineoplastic radiation therapy)</td>
<td>V58.0 (radiotherapy)</td>
</tr>
<tr>
<td>Z51.1 (encounter for antineoplastic chemotherapy and immunotherapy)</td>
<td>V58.1 (encounter for chemotherapy and immunotherapy for neoplastic conditions)</td>
</tr>
<tr>
<td>- Z51.11 (encounter for antineoplastic chemotherapy)</td>
<td>V58.11 (encounter for antineoplastic chemotherapy)</td>
</tr>
<tr>
<td>- Z51.12 (encounter for antineoplastic immunotherapy)</td>
<td>V58.12 (encounter for antineoplastic immunotherapy)</td>
</tr>
</tbody>
</table>

### Development and Revisions

- In September of 2015 the case definition was updated to include ICD10 codes.

- This case definition was developed in 2010 by the Armed Forces Health Surveillance Center (AFHSC) in collaboration with a working group of subject matter experts from the Office of the Assistant Secretary of Defense for Health Affairs (ASDHA), the United States Army Public Health Command (USAPHC) and the United States Military Cancer Institute. The case definition
was developed based on reviews of the ICD9 codes, the scientific literature, and previous AFHSC analyses

- This case definition was developed for a report on ten different invasive cancers. As such, the same case finding criteria are used for all types of cancer in the report. This broad application of a single case definition may affect the sensitivity and specificity in varying ways for the individual cancers. Furthermore, surgical treatment procedures such as hysterectomy, mastectomy, prostatectomy, and other procedures unique to certain types of cancer are not included in the code sets for individual cancers.

Case Definition and Incidence Rule Rationale

- This case definition is designed to capture cases of invasive lung cancer only. Carcinoma in situ is not included in the definition.

- This case definition is designed to capture cases of primary lung cancer only. Metastatic cancers are not included in the case definition.

- Case finding criteria for this definition requires one hospitalization record with a case-defining ICD9 or ICD10 code for lung cancer in the first diagnostic position unless a code for a related treatment procedure is in the first diagnostic position; then the case-defining ICD9 or ICD10 code for lung cancer is allowed in the second diagnostic position.

- The case finding criterion of three or more outpatient medical encounters, within a 90-day period, with a case defining diagnosis of lung cancer is used to identify cases that do not meet the other criteria in the definition. For outpatient encounters, the incident date is considered the first of the three encounters occurring within the 90-day period (e.g., if a woman has four lung cancer codes on 1 Jan 12, 1 Dec 15, 8 Dec 15, and 15 Dec 15, the incident date would be 1 Dec 15. 1 Jan 12 would be considered a screening encounter and dropped). Exploratory analysis of the Defense Medical Surveillance System (DMSS) data revealed that this criterion yielded optimal specificity. 4 The period of 90 days was established to allow for the likelihood that “true” cases of lung cancer would have second and third encounters within that interval.

- For the purposes of counting new incident cases, AFHSB uses a once per lifetime incidence rule unless a specific timeframe is more appropriate and is specified (e.g., individuals may be counted as an incident case once every 365 days). Historically, a “once per surveillance period” incidence rule was used due to limited data in the Defense Medical Surveillance System (DMSS), but that is no longer necessary.

Reports

AFHSB reports on lung cancer in the following reports:

- Periodic MSMR articles on cancers and cancer-related deaths.

Review

May 2019  Case definition reviewed and updated by the AFHSB Surveillance Methods and Standards (SMS) working group.

4 Detailed information on this analysis is available through AFHSB MSMR staff; reference DMSS Requests #R080127, #R080159, #R090184, #R090302, #R090341, #R100181, and #R100303 (DoD Cancer Incidence), 2008-2009.
Sep 2015    Case definition reviewed and updated by the AFHSC Surveillance Methods and Standards (SMS) working group.

Sept 2012   Case definition reviewed and adopted by the AFHSC Surveillance Methods and Standards (SMS) working group.

June 2012   Case definition reviewed and adopted by the AFHSC MSMR staff.

Comments

None