

## UNDER SECRETARY OF DEFENSE 4000 DEFENSE PENTAGON WASHINGTON, DC 20301-4000

MAY 2 1 2015

The Honorable Thad Cochran Chairman Subcommittee on Defense Committee on Appropriations United States Senate Washington, DC 20510

Dear Mr. Chairman:

This letter is in response to the Joint Explanatory Statement, page 105, accompanying H.R. 83, the Consolidated and Further Continuing Appropriations Act for Fiscal Year (FY) 2015, which requested the Secretary of Defense to implement the recommendations in the Department of Defense Inspector General (DoD IG) Audit Report, "Transfer of DoD Service Treatment Records to the Department of Veterans Affairs (VA)." In the Department's official response to the DoD IG, the Office of the Secretary of Defense concurred with the recommendations to update Service Treatment Record (STR) policy and improve oversight of the STR Life Cycle Management.

The DoD is well underway with implementing both recommendations. An update to DoD Instruction 6040.45, "Service Treatment Record (STR) and Non-Service Treatment Records (NSTR) Life-Cycle Management," which governs the STR and addresses all DoD IG recommendations is currently in development. Additionally, the Defense Health Agency established the Patient Administration Office Branch (PAO) to ensure service compliance with STR policies and procedures. The Chief position was filled on December 28, 2014, with additional staffing to be accomplished throughout the remainder of FY 2015. A concept of operations was approved for the PAO that includes site visits to military treatment facilities and Service Central STR Processing cells to ensure compliance with DoD timeliness and accuracy policies.

These funds, which are currently in the Defense Business Systems funds certification process, will support the continued operation and improvement of HAIMS through: 1) the establishment of a capacity test environment enabling accurate projections of system usage and corresponding infrastructure requirements; 2) implementation of around-the-clock system monitoring with alerts capabilities; 3) the addition of a performance testing requirement; and, 4) procurement of software licenses required to allow the vendor to enhance the software-based outcomes of that performance testing. Additional improvements already underway include the upgrade of Commercial-Off-The-Shelf products that are part of HAIMS' secure, Web-based, enterprise architecture. This upgrade is expected to be completed by the end of FY 2015, and will improve the system's capacity and provide the scalability needed to support expected growth in utilization.

Thank you for your interest in the health and well-being of our Service members, veterans, and their families. A similar letter is being sent to the other congressional defense committees.

Brad Carson

Acting

cc:

The Honorable Richard J. Durbin Vice Chairman



# UNDER SECRETARY OF DEFENSE 4000 DEFENSE PENTAGON WASHINGTON, DC 20301-4000

MAY 2 1 2015

The Honorable Rodney P. Frelinghuysen Chairman Subcommittee on Defense Committee on Appropriations U.S. House of Representatives Washington, DC 20515

Dear Mr. Chairman:

This letter is in response to the Joint Explanatory Statement, page 105, accompanying H.R. 83, the Consolidated and Further Continuing Appropriations Act for Fiscal Year (FY) 2015, which requested the Secretary of Defense to implement the recommendations in the Department of Defense Inspector General (DoD IG) Audit Report, "Transfer of DoD Service Treatment Records to the Department of Veterans Affairs (VA)." In the Department's official response to the DoD IG, the Office of the Secretary of Defense concurred with the recommendations to update Service Treatment Record (STR) policy and improve oversight of the STR Life Cycle Management.

The DoD is well underway with implementing both recommendations. An update to DoD Instruction 6040.45, "Service Treatment Record (STR) and Non-Service Treatment Records (NSTR) Life-Cycle Management," which governs the STR and addresses all DoD IG recommendations is currently in development. Additionally, the Defense Health Agency established the Patient Administration Office Branch (PAO) to ensure service compliance with STR policies and procedures. The Chief position was filled on December 28, 2014, with additional staffing to be accomplished throughout the remainder of FY 2015. A concept of operations was approved for the PAO that includes site visits to military treatment facilities and Service Central STR Processing cells to ensure compliance with DoD timeliness and accuracy policies.

These funds, which are currently in the Defense Business Systems funds certification process, will support the continued operation and improvement of HAIMS through: 1) the establishment of a capacity test environment enabling accurate projections of system usage and corresponding infrastructure requirements; 2) implementation of around-the-clock system monitoring with alerts capabilities; 3) the addition of a performance testing requirement; and, 4) procurement of software licenses required to allow the vendor to enhance the software-based outcomes of that performance testing. Additional improvements already underway include the upgrade of Commercial-Off-The-Shelf products that are part of HAIMS' secure, Web-based, enterprise architecture. This upgrade is expected to be completed by the end of FY 2015, and will improve the system's capacity and provide the scalability needed to support expected growth in utilization.

Thank you for your interest in the health and well-being of our Service members, veterans, and their families. A similar letter is being sent to the other congressional defense committees.

//

Brad Carson

Acting

cc:

The Honorable Peter J. Visclosky Ranking Member



#### **UNDER SECRETARY OF DEFENSE**

4000 DEFENSE PENTAGON WASHINGTON, DC 20301-4000

MAY 2 1 2015

The Honorable William M. "Mac" Thornberry Chairman Committee on Armed Services U.S. House of Representatives Washington, DC 20515

Dear Mr. Chairman:

This letter is in response to the Joint Explanatory Statement, page 105, accompanying H.R. 83, the Consolidated and Further Continuing Appropriations Act for Fiscal Year (FY) 2015, which requested the Secretary of Defense to implement the recommendations in the Department of Defense Inspector General (DoD IG) Audit Report, "Transfer of DoD Service Treatment Records to the Department of Veterans Affairs (VA)." In the Department's official response to the DoD IG, the Office of the Secretary of Defense concurred with the recommendations to update Service Treatment Record (STR) policy and improve oversight of the STR Life Cycle Management.

The DoD is well underway with implementing both recommendations. An update to DoD Instruction 6040.45, "Service Treatment Record (STR) and Non-Service Treatment Records (NSTR) Life-Cycle Management," which governs the STR and addresses all DoD IG recommendations is currently in development. Additionally, the Defense Health Agency established the Patient Administration Office Branch (PAO) to ensure service compliance with STR policies and procedures. The Chief position was filled on December 28, 2014, with additional staffing to be accomplished throughout the remainder of FY 2015. A concept of operations was approved for the PAO that includes site visits to military treatment facilities and Service Central STR Processing cells to ensure compliance with DoD timeliness and accuracy policies.

These funds, which are currently in the Defense Business Systems funds certification process, will support the continued operation and improvement of HAIMS through: 1) the establishment of a capacity test environment enabling accurate projections of system usage and corresponding infrastructure requirements; 2) implementation of around-the-clock system monitoring with alerts capabilities; 3) the addition of a performance testing requirement; and, 4) procurement of software licenses required to allow the vendor to enhance the software-based outcomes of that performance testing. Additional improvements already underway include the upgrade of Commercial-Off-The-Shelf products that are part of HAIMS' secure, Web-based, enterprise architecture. This upgrade is expected to be completed by the end of FY 2015, and will improve the system's capacity and provide the scalability needed to support expected growth in utilization.

Thank you for your interest in the health and well-being of our Service members, veterans, and their families. A similar letter is being sent to the other congressional defense committees.

Brad Carson

Acting

cc:

The Honorable Adam Smith Ranking Member



#### **UNDER SECRETARY OF DEFENSE**

4000 DEFENSE PENTAGON WASHINGTON, DC 20301-4000

MAY 4 1 2015

The Honorable John McCain Chairman Committee on Armed Services United States Senate Washington, DC 20510

Dear Mr. Chairman:

This letter is in response to the Joint Explanatory Statement, page 105, accompanying H.R. 83, the Consolidated and Further Continuing Appropriations Act for Fiscal Year (FY) 2015, which requested the Secretary of Defense to implement the recommendations in the Department of Defense Inspector General (DoD IG) Audit Report, "Transfer of DoD Service Treatment Records to the Department of Veterans Affairs (VA)." In the Department's official response to the DoD IG, the Office of the Secretary of Defense concurred with the recommendations to update Service Treatment Record (STR) policy and improve oversight of the STR Life Cycle Management.

The DoD is well underway with implementing both recommendations. An update to DoD Instruction 6040.45, "Service Treatment Record (STR) and Non-Service Treatment Records (NSTR) Life-Cycle Management," which governs the STR and addresses all DoD IG recommendations is currently in development. Additionally, the Defense Health Agency established the Patient Administration Office Branch (PAO) to ensure service compliance with STR policies and procedures. The Chief position was filled on December 28, 2014, with additional staffing to be accomplished throughout the remainder of FY 2015. A concept of operations was approved for the PAO that includes site visits to military treatment facilities and Service Central STR Processing cells to ensure compliance with DoD timeliness and accuracy policies.

These funds, which are currently in the Defense Business Systems funds certification process, will support the continued operation and improvement of HAIMS through: 1) the establishment of a capacity test environment enabling accurate projections of system usage and corresponding infrastructure requirements; 2) implementation of around-the-clock system monitoring with alerts capabilities; 3) the addition of a performance testing requirement; and, 4) procurement of software licenses required to allow the vendor to enhance the software-based outcomes of that performance testing. Additional improvements already underway include the upgrade of Commercial-Off-The-Shelf products that are part of HAIMS' secure, Web-based, enterprise architecture. This upgrade is expected to be completed by the end of FY 2015, and will improve the system's capacity and provide the scalability needed to support expected growth in utilization.

Thank you for your interest in the health and well-being of our Service members, veterans, and their families. A similar letter is being sent to the other congressional defense committees.

Brad Carson

Acting

cc:

The Honorable Jack Reed Ranking Member



## UNDER SECRETARY OF DEFENSE 4000 DEFENSE PENTAGON WASHINGTON, DC 20301-4000

MAY 2 1 2015

The Honorable Thad Cochran Chairman Committee on Appropriations United States Senate Washington, DC 20510

Dear Mr. Chairman:

This letter is in response to the Joint Explanatory Statement, page 105, accompanying H.R. 83, the Consolidated and Further Continuing Appropriations Act for Fiscal Year (FY) 2015, which requested the Secretary of Defense to implement the recommendations in the Department of Defense Inspector General (DoD IG) Audit Report, "Transfer of DoD Service Treatment Records to the Department of Veterans Affairs (VA)." In the Department's official response to the DoD IG, the Office of the Secretary of Defense concurred with the recommendations to update Service Treatment Record (STR) policy and improve oversight of the STR Life Cycle Management.

The DoD is well underway with implementing both recommendations. An update to DoD Instruction 6040.45, "Service Treatment Record (STR) and Non-Service Treatment Records (NSTR) Life-Cycle Management," which governs the STR and addresses all DoD IG recommendations is currently in development. Additionally, the Defense Health Agency established the Patient Administration Office Branch (PAO) to ensure service compliance with STR policies and procedures. The Chief position was filled on December 28, 2014, with additional staffing to be accomplished throughout the remainder of FY 2015. A concept of operations was approved for the PAO that includes site visits to military treatment facilities and Service Central STR Processing cells to ensure compliance with DoD timeliness and accuracy policies.

These funds, which are currently in the Defense Business Systems funds certification process, will support the continued operation and improvement of HAIMS through: 1) the establishment of a capacity test environment enabling accurate projections of system usage and corresponding infrastructure requirements; 2) implementation of around-the-clock system monitoring with alerts capabilities; 3) the addition of a performance testing requirement; and, 4) procurement of software licenses required to allow the vendor to enhance the software-based outcomes of that performance testing. Additional improvements already underway include the upgrade of Commercial-Off-The-Shelf products that are part of HAIMS' secure, Web-based, enterprise architecture. This upgrade is expected to be completed by the end of FY 2015, and will improve the system's capacity and provide the scalability needed to support expected growth in utilization.

Thank you for your interest in the health and well-being of our Service members, veterans, and their families. A similar letter is being sent to the other congressional defense committees.

Sincerely,

Brad Carson

Acting

cc:

The Honorable Barbara A. Mikulski Vice Chairwoman



### **UNDER SECRETARY OF DEFENSE**

4000 DEFENSE PENTAGON WASHINGTON, DC 20301-4000

MAY 2 1 2015

The Honorable Harold Rogers Chairman Committee on Appropriations United States House of Representatives Washington, DC 20515

Dear Mr. Chairman:

This letter is in response to the Joint Explanatory Statement, page 105, accompanying H.R. 83, the Consolidated and Further Continuing Appropriations Act for Fiscal Year (FY) 2015, which requested the Secretary of Defense to implement the recommendations in the Department of Defense Inspector General (DoD IG) Audit Report, "Transfer of DoD Service Treatment Records to the Department of Veterans Affairs (VA)." In the Department's official response to the DoD IG, the Office of the Secretary of Defense concurred with the recommendations to update Service Treatment Record (STR) policy and improve oversight of the STR Life Cycle Management.

The DoD is well underway with implementing both recommendations. An update to DoD Instruction 6040.45, "Service Treatment Record (STR) and Non-Service Treatment Records (NSTR) Life-Cycle Management," which governs the STR and addresses all DoD IG recommendations is currently in development. Additionally, the Defense Health Agency established the Patient Administration Office Branch (PAO) to ensure service compliance with STR policies and procedures. The Chief position was filled on December 28, 2014, with additional staffing to be accomplished throughout the remainder of FY 2015. A concept of operations was approved for the PAO that includes site visits to military treatment facilities and Service Central STR Processing cells to ensure compliance with DoD timeliness and accuracy policies.

These funds, which are currently in the Defense Business Systems funds certification process, will support the continued operation and improvement of HAIMS through: 1) the establishment of a capacity test environment enabling accurate projections of system usage and corresponding infrastructure requirements; 2) implementation of around-the-clock system monitoring with alerts capabilities; 3) the addition of a performance testing requirement; and, 4) procurement of software licenses required to allow the vendor to enhance the software-based outcomes of that performance testing. Additional improvements already underway include the upgrade of Commercial-Off-The-Shelf products that are part of HAIMS' secure, Web-based, enterprise architecture. This upgrade is expected to be completed by the end of FY 2015, and will improve the system's capacity and provide the scalability needed to support expected growth in utilization.

Thank you for your interest in the health and well-being of our Service members, veterans, and their families. A similar letter is being sent to the other congressional defense committees.

Sincerely,

Brad Carson

Acting

cc:

The Honorable Nita M. Lowey Ranking Member